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# FORM

FORM
(to be used for all correspondence after initial filing)

Sent via Express Mail

Application Number	09/694,514
Filing Date	October 23, 2000
First Named Inventor	Moore, et. al.
Group Art Unit	2132
Examiner Name	K. Zand
Attorney Docket Number	155608.02

Label No.:	Attorney Docke	Attorney Docket Number 133000.02							
ENCLOSURES (check all that apply)									
Fee Transmittal Form Fee Attached		(for a	nment Papers in Application)			After Allowance Communication to To			
		Decla	ring(s) (sheets)				unication to TC		
Extension of Time Request		$\Box$ A	copy from a prior ap	py from a prior application		(Appeal Notice, Bri	•		
Express Abandonment Request		Licen	nsing-related Papers			Status Letter			
☐ Information Disclosure Statement with Forms PTO/SB/08A/08B (3 pages)		Petitio	on			Application Data Sheet			
Response to Notice to File Missing Parts A copy of the Notice to File Missing Parts Under 37 CFR 1.52 or 1.5	_		on to Convert to a Procation	visional		Request for Corrected Filing Receipt			
CERTIFICATE OF MAILING OR TRANSMISSION (Under 37 CFR § 1.8(a))  I hereby certify that this correspondence is being:	General Power of Attorney (SB80)			⊠ ⊠	Return Receipt Postcard  Other Enclosure(s) (please identify below):				
deposited with the United States Postal Service on the date shown below with sufficient		Termi	inal Disclaimer	al Disclaimer			⊠ Cited References             □             □		
postage as first class mail in an envelope addressed to:  Mail Stop AF, Commissioner for Patents, P.O. Box 1450. Alexandria. VA 22313-1450; or	☐ Request for Refund								
Transmitted by facsimile on the date shown below to the USPTO at (571)		Remarks  The Commissioner is hereby authorized to charge any additional fees required, or credit any overpayments, to Deposit Account No. 50-0463 for the above identified patent application.							
SIGNATURE OF ATTORNEY OR AGENT									
Signature   Yarid !		Reg	. No.	38,222					
Name of Attorney or Agent	id Lee			1					
Date July 29, 2005	Tel.		(425) 703-8092	2	Fac	csimile No.	(425) 708-5046		
Assignee Name:		MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052							
Customer Number:	22971								

AUG 0 1 2005 Complete if Known Effective of 2/08/04 Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818). **Application Number** 09/694,514 E TRANSMIT Filing Date October 23, 2000 First Named Inventor Moore, et. al. For FY 2005 **Examiner Name** K. Zand Art Unit 2132 Applicant claims small entity status. See 37 CFR 1.27 Attorney Docket No. 155068.02 TOTAL AMOUNT OF PAYMENT (\$) 180.00 N/A Express Mail Label No. METHOD OF PAYMENT (check all that apply) ☐ Money Order ☐ None Other (please identify): Check Credit Card Deposit Account Name: MICROSOFT CORPORATION Deposit Account Deposit Account Number: 50-0463 For the above-identified deposit account, the Director is hereby authorized to: (check all that apply) Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 Credit any overpayments WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. **FEE CALCULATION** 1. BASIC FILING, SEARCH, AND EXAMINATION FEES **FILING FEES EXAMINATION FEES** SEARCH FEES **Small Entity Small Entity Small Entity** Fees Paid (\$) Application Type Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Fee (\$) Utility 300 150 500 200 250 100 Design 200 100 100 130 50 65 Plant 200 100 300 160 150 80 Reissue 300 150 500 250 600 300 Provisional 200 100 0 0 0 n 2. EXCESS CLAIM FEES **Small Entity** Fee (\$) Fee (\$) **Fee Description** Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent 50 Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent 200 100 Multiple dependent claims 180 360 Total Claims 28 Extra Claims Fee (\$) Fee Paid (\$) **Multiple Dependent Claims** - 28 or HP= 0 50 = 0 Eac (\$) Fee Paid (\$)

<u> </u>	<u>ree (\$)</u>	ree Palu (3)
HP =highest number of total claims paid for, if greater than 20	0	0
Indep. Claims	Paid (\$)	
$5 - 5 \text{ or HP} = 0 \times 200 = 0$		•
HP = highest number of independent claims paid for, if greater than	3	
3. APPLICATION SIZE FEE		
If the specification and drawings exceed 100 sheets of paper,	the application size fee due is \$250 (\$1)	25 for small entity)
for each additional 50 sheets or fraction thereof. See 35 U.S.	C. 41(a)(1)(G) and 37 CFR 1.16(s).	
Number of eac	h additional 50 or fraction thereof	Fee (\$)
		Fee Paid (\$)
	(round <b>up</b> to a whole) number x	<u>250</u> = <u>0</u>
4. OTHER FEE(S)		Fore Daid (\$)
` '		Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity disc	ount)	0
Other: Information Disclosure /Cited References		180

25

SUBMITTED BY			
Signature	Parid 1 L	Registration No. (Attorney/Agent) 38,222	Telephone (425) 703-8092
Name (Print/Type)	Dayid Lee		Date July 29, 2005

	TRANSMITTAL			Application Number Filing Date First Named Inventor			09/694,514				
/							October 23, 2000				
_	AUG 0 1 2005 (1) FORM						Moore, et. al.				
P F	(to be used for Ed correspondence after initial		al filing)		Group Art Unit			2132			
B	Sent via Express Mail				Examiner Nam			K. Zand			
					Attorney Docket Number			155608.02			
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					ment Papers  Application)						
	Amendmen		□ D:	rawing	ng(s) ( sheets)		ب	Appeals and Interferences			
		rits/declaration(s)			ly Executed ( pa	ges)		Appeal Commu (Appeal Notice, Brie			
	Extension o	of Time Request			opy from a prior application CFR 1.63(d)) ( pages)			Proprietary Info	ormation		
	☐ Express Ab	andonment Request	`		g-related Papers			Status Letter			
		Disclosure Statement with /SB/08A/08B (3 pages)		etition	g resulted appear			Application Data Sheet			
	A copy	o Notice to File Missing Parts of the Notice to File Missing inder 37 CFR 1.52 or 1.5	Petitio Applic		to Convert to a Pro	ovisional					
	(Ur				ll Power of Attorney (SB80) CFR 3.73(b) Statement			☐ Other Enclosure(s) (please identify below):			
		the United States Postal	☐ Termina		al Disclaimer			⊠ Cited Refe	erences		
	Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:  Mail Stop AF, Commissioner for Patents, P.O. Box		Request		for Refund						
	1450, Alexandria, V	•	☐ CI	D, Nur	mber of CD(s)	D(s)					
	to the USPTO at (571)  July 29, 2005  Date  David Lee Printed Name			Remarks The Commissioner is hereby authorized to charge any additional fees required, or credit any overpayments, to Deposit Account No. 50-0463 for the above identified patent application.							
SIGNATURE OF ATTORNEY OR AGENT											
	Signature Varid! Reg				No. 38,222						
				avid	d Lee						
İ	Date Ju	ıly 29, 2005	Tel.		(425) 703-8092		Fa	csimile No.	(425) 708-5046		
	Assignee Name:				MICROSOFT CORPORATION ONE MICROSOFT WAY REDMOND, WA 98052						

22971

Customer Number:

EDosket No.: 155608.02

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Named Inventor: Moore, et. al.

22971

Attorney Docket No.: 155608.02

Application No.: 09/694,514

Group Art Unit: 2132

Filed: 10/23/2000

Examiner: K. Zand

Customer No.:

Confirmation Number: 9639

Title: Security Link Management In Dynamic Networks

Mail Stop AF **Commissioner for Patents** P.O. Box 1450 Alexandria VA 22313-1450

# STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

## PART I: Compliance with 37 C.F.R. § 1.97

This Information Disclosure Statement has been filed more than three (3) months after the filing date of this application and after the mailing date of the first Office Action, but before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311. The fee of 180.00 as set forth in 37 C.F.R. § 1.17(p) is enclosed.

Docket No.: 155608.02 PATENT

## PART II: Remarks

The Applicant hereby makes of record in the above-identified application the information listed on the attached forms PTO/SB/08A and 08B. The order of presentation of the references should not be construed as an indication of the importance of the references. Documents cited on the attached forms PTO/SB/08A and 08B are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed forms PTO/SB/08A and 08B be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. § 102.

Docket No.: 155608.02 PATENT

Notwithstanding any statement by the Applicant, the Applicant urges the Examiner to form his own conclusion regarding the relevance of the cited information.

An early and favorable action is hereby requested.

Respectfully submitted,

MICROSOFT CORPORATION

Date: July 29, 2005

David S. Lee,

Reg. No. 38,222

Microsoft Corporation Redmond, Washington

Telephone (425) 703-8092

#### **CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]**

I hereby certify that this correspondence is being:

■ deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to:

Mail Stop <u>AF</u>, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

July 29, 2005

Date

Signature

David S. Lee

Type or Print Name